PTO/SB/14 (08-05) Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Attorney Docket Number 17625-0100 Application Data Sheet 37 CFR 1.76 Application Number Title of Invention Raman-Enhancing, and Non-Linear Optically Active Nano-Sized Optical Labels and Uses Thereof The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76. This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application, Secrecy Order 37 CFR 5.2 Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.) Applicant Information: Remove Applicant 1 Legal Representative under 35 U.S.C. 117 Party of Interest under 35 U.S.C. 118 Applicant Authority (•) Inventor Prefix Given Name Middle Name **Family Name** Suffix Robert Martin DICKSON Non US Residency Residence Information (Select One) US Residency Active US Military Service City Atlanta State/Province GA Country of Residence i US Citizenship under 37 CFR 1.41(b) US Mailing Address of Applicant: Address 1 2969 Dale Drive NE Address 2 State/Province City Atlanta GA Postal Code 30305 Country US Remove Applicant 2 Applicant Authority olnventor ○Legal Representative under 35 U.S.C. 117 Party of Interest under 35 U.S.C. 118 Prefix Given Name **Family Name** Middle Name Suffix Jie. **ZHENG** Residence Information (Select One) US Residency Non US Residency Active US Military Service GA Country of Residence i US Citv Smyrna State/Province Citizenship under 37 CFR 1.41(b) CN Mailing Address of Applicant: Address 1 2167-J Lake Park Drive Address 2 City Smyrna State/Province GA Postal Code 30080 Country US Remove Applicant 3 Applicant Authority ( Inventor ○Legal Representative under 35 U.S.C. 117 Party of Interest under 35 U.S.C. 118 Prefix Given Name Middle Name **Family Name** Suffix CAPADONA Lynn Anne Residence Information (Select One) US Residency Non US Residency Active US Military Service

State/Province

ОН

Country of Residence i

US

North Ridgeville

Approved for use through 07/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number Attorney Docket Number 17625-0100 Application Data Sheet 37 CFR 1.76 Application Number Title of Invention Raman-Enhancing, and Non-Linear Optically Active Nano-Sized Optical Labels and Uses Thereof Citizenship under 37 CFR 1.41(b) i US Mailing Address of Applicant: Address 1 8848 Belton Drive Address 2 City North Ridgeville State/Province OH Postal Code 44035 Countryi US Remove Applicant 4 ○Legal Representative under 35 U.S.C. 117 Party of Interest under 35 U.S.C. 118 Applicant Authority 

Inventor Prefix Given Name Middle Name **Family Name** Suffix Jeffrey Thomas PETTY Residence Information (Select One) Non US Residency US Residency Active US Military Service City Travelers Rest State/Province SC Country of Residence i US Citizenship under 37 CFR 1.41(b) i US Mailing Address of Applicant: Address 1 8 Duchess Court Address 2 City Travelers Rest State/Province SC **Postal Code** 29690 Country US Remove Applicant 5 Applicant Authority olnventor ○Legal Representative under 35 U.S.C. 117 Party of Interest under 35 U.S.C. 118 Prefix Given Name Middle Name **Family Name** Suffix PATEL Sandeep Aiit Residence Information (Select One) US Residency Non US Residency Active US Military Service City Atlanta State/Province GA Country of Residence i US Citizenship under 37 CFR 1.41(b) i US Mailing Address of Applicant: Address 1 347 11th Street Address 2 Citv Atlanta State/Province GA

Country

Legal Representative under 35 U.S.C. 117

Middle Name

US

**Family Name** 

Remove

Party of Interest under 35 U.S.C. 118

Suffix

**Postal Code** 

Applicant 6

Prefix Given Name

Applicant Authority 

Inventor

30318

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76			Attorne	ey Doc	ket Nu	mber	176	625-0100	
			Application Number						
Title of Inv	-Linear Optically Active Nano-Sized Optical Labels and Uses Thereof								
Mailing A	ddress of	Appli	cant:						
Address 1		9	915 Argonne Avenue, ‡	<b>#1</b>					
Address 2	2								
City	Atlanta	[				State	/Provin	се	GA
Postal Co	de	3	30309		Cou	intryi	ntryi US		
			isted - Additional Ir by selecting the Add		Inform	ation b	olocks i	may	be Add
Corresp	onden	ce In	nformation:						
			umber or complete ee 37 CFR 1.33(a).	the Cor	respor	ndence	Inform	natio	n section below.
☐ An A	ddress is	being	provided for the co	orrespo	ndenc	e Infor	mation	of th	nis application.
Customer	Number		29052						
Email Add	iress		kathryn.wade@sabl	aw.com					Add Email Remove Email
Applicat	tion Inf	form	ation:						
Title of the	e Inventic	on	Raman-Enhancing,	and Non-	-Linear	Optical	y Active	Nano	o-Sized Optical Labels and Uses Thereof
Attorney l	Docket N	umber	17625-0100	17625-0100 Small Entity Status Claimed 🔽					
Application	n Type		Nonprovisional						
Subject M	latter		Utility						
Suggeste	d Class (i	if any)				Su	b <b>Cla</b> ss	s (if a	any)
Suggeste	d Techno	logy C	Center (if any)						
Total Num	ber of Dr	rawing	Sheets (if any)	15		Su	ggeste	d Fig	gure for Publication (if any)
Publication	n Inform	ation:							
Requ	est Early l	Publica	ation (Fee required a	t time of	Reque	est 37 (	CFR 1.2	19)	
Request Not to Publish. I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application has not been and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.									
Representative Information:									
Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Enter either Customer Number or complete the Representative Name section below. If both sections are completed the Customer Number will be used for the Representative Information during processing.									
Please Select One:			<ul><li>Customer Numbe</li></ul>	r O	US Pa	atent Pr	actitione	r	US Representative (37 CFR 11.9)
Customer	Number		29052						

PTO/SB/14 (08-05) Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Da	ita Sheet 37 CFR 1.76	Attorney Docket Number	17625-0100	
Application ba	ita officer of of it 1.70	Application Number		
Title of Invention	Raman-Enhancing, and Non-	Linear Optically Active Nano-Siz	zed Optical Labels and Uses Thereof	

### **Domestic Priority Information:**

This section allows for the applicant to claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c). Providing this information in the
application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78(a)(2) or CFR 1.78(a)
(4), and need not otherwise be made part of the specification.

Prior Application Status	Pending	Remove					
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)				
60551816			2004-03-10				
Additional Domestic Priority Data may be generated within this form by selecting the Add button.							

### Foreign Priority Information:

This section allows for the applicant to claim benefit of foreign priority and to identify any prior foreign application for which priority is not claimed. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(a).

and 37 Of R 1.33(a).								
		Re	move					
Application Number	Country i	Parent Filing Date (YYYY-MM-DD)	Priority Claimed					
PCT/US2005/007649	WO	2005-03-10	Yes ○ No					
Additional Foreign Priority Data may be generated within this form by selecting the Add button.								

# **Assignee Information:**

Providing this information in the application data sheet does not substitute for compliance with any requirement of part 3 of Title 37 of the CFR to have an assignment recorded in the Office.									
Assignee 1 Remove									
If the Assignee is an C	If the Assignee is an Organization check here.								
Prefix	Given Name	Middle Name		Family Name	Suffix				
Mailing Address Info	rmation:	•							
Address 1	Address 1								
Address 2									
City			State/Province						
Country i		F	Postal C	ode					
Phone Number	F	Fax Number							
Email Address									
Additional Assignee Data may be generated within this form by selecting the Add button.									

## Signature:

A signature of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for the form of the signature.

PTO/SB/14 (08-05) Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76			Attorney Docket Number		
			Application Number		
Title of Invention Raman-Enhancing, and Non-		Linear Optically Active Nano-Siz	zed Optical Labels and Uses	a Thereof	
Signature	/Kath	ryn H. Wade, Ph.D./		Date (YYYY-MM-DD)	2008-09-08

First Name Kathryn H.		Last Name	Wade, Ph.D.	Registration Number	54682
,					
TT 1 0 0		II 07.0ED 4	70 TI : ( (:		

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

#### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552)
  and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine
  whether the Freedom of Information Act requires disclosure of these records.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an
  individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of
  the record.
- A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.